

## Substrate thermal noise

$$S_x = \frac{4k_B T}{\Omega} \frac{1-\nu^2}{\sqrt{\pi Y w_0^2}} \phi$$

## Coating thermal noise

$$S_x = \frac{4k_B T}{\Omega} \frac{(1+\nu)(1-2\nu)2d_c}{\pi Y w_0^2} \phi_c$$

(Silica coating on Silica substrate; simple form)

How do we calculate the thermal-noise spectra?

## "Fluctuation-dissipation Theorem (FdT)"

$$\frac{\text{"Internal energy of mass"}}{\text{"Thermal-noise kinetic energy"}} = \frac{\text{"Work done by external force"}}{\text{"Dissipated energy } W\text{"}}$$

$$\Rightarrow S_x = \frac{8k_B T W}{\Omega^2 F_0^2}$$

$$W = U \Omega \phi$$

U: elastic energy,  $\phi$ : loss

$$U = \frac{1}{2} \int \sum_{i,j} E_{ij} T_{ij} dV$$

Strain tensor
Stress tensor

[strain tensor]

$$E_{rr} = \frac{\partial u_r}{\partial r}, \quad E_{\psi\psi} = \frac{u_r}{r}, \quad E_{zz} = \frac{\partial u_z}{\partial z},$$

$$E_{rz} = \frac{1}{2} \left( \frac{\partial u_r}{\partial z} + \frac{\partial u_z}{\partial r} \right),$$

[stress tensor]

$$T_{rr} = (\lambda + 2\mu)E_{rr} + \lambda(E_{\psi\psi} + E_{zz}),$$

$$T_{\psi\psi} = (\lambda + 2\mu)E_{\psi\psi} + \lambda(E_{zz} + E_{rr}),$$

$$T_{zz} = (\lambda + 2\mu)E_{zz} + \lambda(E_{rr} + E_{\psi\psi}),$$

$$T_{rz} = 2\mu E_{rz}.$$

Lame coefficient

$$\lambda = \frac{Y\nu}{(1+\nu)(1-2\nu)},$$

$$\mu = \frac{Y}{2(1+\nu)}$$

(Y: Young's modulus,  $\nu$ : Poisson ratio)

**Hook's law + Newton's law**  $\implies$  **Elastic equation**

$$\left\{ \begin{array}{l} 2(1-\nu) \left( \frac{\partial^2 u_r}{\partial r^2} + \frac{1}{r} \frac{\partial u_r}{\partial r} - \frac{u_r}{r^2} \right) + (1-2\nu) \frac{\partial^2 u_r}{\partial z^2} + \frac{\partial^2 u_z}{\partial z \partial r} = 0, \quad \dots (1) \\ (1-2\nu) \left( \frac{\partial^2 u_z}{\partial r^2} + \frac{1}{r} \frac{\partial u_z}{\partial r} \right) + 2(1-\nu) \frac{\partial^2 u_z}{\partial z^2} + \frac{\partial^2 u_r}{\partial z \partial r} + \frac{1}{r} \frac{\partial u_r}{\partial z} = 0, \quad \dots (2) \end{array} \right.$$

Roughly speaking, elastic eq can be written in the following form:  
 (here we assume  $\partial u_z / \partial r = \partial u_r / \partial z$  and  $u_j \rightarrow A_j(r)B_j(z)$  )

$$(1) \rightarrow r^2 \frac{\partial^2 A_r}{\partial r^2} B_r + r \frac{\partial A_r}{\partial r} B_r - A_r B_r + r^2 A_r \frac{\partial^2 B_r}{\partial z^2} = 0$$

$$\rightarrow \begin{cases} \frac{\partial^2 B_r}{\partial z^2} = f_c B_r & \text{second-order ODE} \\ r^2 \frac{\partial^2 A_r}{\partial r^2} + r \frac{\partial A_r}{\partial r} - A_r + r^2 A_r f_c = 0 & \text{Bessel equation} \end{cases}$$

With these and the boundary conditions:

$$T_{zz}(z=0) = -F_0 p(r), T_{rz}(z=0) = T_{rr}(r=\infty) = T_{rz}(r=\infty) = T_{zz}(z=\infty) = T_{rz}(z=\infty) = 0$$

the elastic eq can be solved as

$$\left[ p(r) = \frac{2}{\pi w_0^2} e^{-2r^2/w_0^2} \right]$$

$$\begin{cases} u_r = \int_0^\infty \frac{e^{-k^2 w_0^2 / 8}}{4\pi\mu k} F_0 \left( -\frac{\mu}{\lambda + \mu} + kz \right) e^{-kz} J_1(kr) k dk \\ u_z = \int_0^\infty \frac{e^{-k^2 w_0^2 / 8}}{4\pi\mu k} F_0 \left( \frac{\lambda + 2\mu}{\lambda + \mu} + kz \right) e^{-kz} J_0(kr) k dk \end{cases}$$

Using those and integrating  $E_{ij}T_{ij}$  in the entire half-infinite plane, we get

$$U = \frac{1-\nu^2}{2\sqrt{\pi}Yw_0} F_0^2 \quad (\text{elastic energy to give substrate TN})$$

If we integrate  $E_{ij}T_{ij}$  in the coating ( $0 < z < d$ ), we get

$$U = \frac{(1+\nu)(1-2\nu)}{\pi Y w_0^2} d_c F_0^2 \quad (\text{elastic energy to give coating TN})$$

This coating TN is with a same material for substrate and coatings. If we want to calculate TN with tantala coating on silica substrate, we need strain/stress tensors inside the coatings.

$$E'_{rr} = E_{rr}, \quad E'_{\psi\psi} = E_{\psi\psi}, \quad E'_{rz} = E_{rz}, \quad T'_{zz} = T_{zz}, \quad T'_{rz} = T_{rz},$$

and also  $T'_{rr}$ ,  $T'_{\psi\psi}$  and  $E'_{zz}$  from the following equations:

$$\begin{cases} T'_{rr} = (\lambda' + 2\mu')E'_{rr} + \lambda'(E'_{\psi\psi} + E'_{zz}), \\ T'_{\psi\psi} = (\lambda' + 2\mu')E'_{\psi\psi} + \lambda'(E'_{zz} + E'_{rr}), \\ T'_{zz} = (\lambda' + 2\mu')E'_{zz} + \lambda'(E'_{rr} + E'_{\psi\psi}), \end{cases}$$

Finally we get: 
$$S_x(\Omega) = \frac{4k_B T}{\Omega} \frac{d}{\pi w_0^2} \frac{Y_c^2(1+\nu_s)^2(1-2\nu_s)^2 + Y_s^2(1+\nu_c)^2(1-2\nu_c)}{Y_s^2 Y_c (1-\nu_c^2)} \phi_c.$$

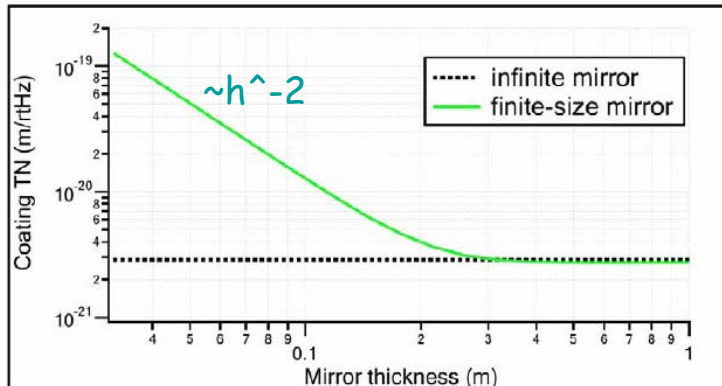
# Coating TN

$$S_x(\Omega) = \frac{4k_B T}{\Omega} \frac{d}{\pi w_0^2} \frac{Y_c^2(1 + \nu_s)^2(1 - 2\nu_s)^2 + Y_s^2(1 + \nu_c)^2(1 - 2\nu_c)}{Y_s^2 Y_c(1 - \nu_c^2)} \phi_c.$$

- TN decreases with small  $d_c$  ← large  $n_c$  or blue beam
- TN decreases with large  $w_0$
- TN decreases with large  $Y_s$  ← Sapphire is better than Silicon
- TN decreases with small  $\phi_c$
- TN decreases with low  $T$

Moreover, coating TN depends on mirror thickness.

Ex) ET mirror [mirror radius=31cm]



Mirror thickness  $h$  should not be smaller than mirror radius  $a$ .

# [Thermoelastic noise and Thermorefractive noise]

Heat equation:

$$\dot{\theta}_j(z, t) - \kappa_j \nabla^2 \theta(z, t) = q_j(z, t) \quad W \sim (d\theta/dz)^2$$

temperature  
fluctuation

heat source

Heat source for TE noise:  $q_j^{\text{TE}} = -i\Omega \frac{\alpha_j Y_j T}{C_j (1 - 2\nu_j)} \Theta_j$

$$\Theta = E_{rr} + E_{\psi\psi} + E_{zz} \quad (\text{expansion})$$

Heat source for TR noise:  $q_c^{\text{TR}} = -i\Omega \frac{\beta_{\text{eff}} \tilde{\lambda} T F_0}{C_c} p(r) \delta(z)$

While TE and TR should be calculated at once (thermo-optic noise),  
Substrate TE noise equation for example is as follows:

$$S_x = \frac{16k_B T^2 (1 + \nu)^2 \alpha_s^2 \kappa_s}{\sqrt{\pi} C_s w_0^3 \Omega^2}$$

It is proportional to  $T^2$  instead of  $T$ .